

1c849 U.S. PTO
07/21/00

07-24-00

A

LERNER AND GREENBERG, P.A.

PATENT ATTORNEYS AND ATTORNEYS AT LAW

2445 Hollywood Boulevard
Hollywood, Florida 33020
Tel: (954) 925-1100
Fax: (954) 925-1101

Herbert L. Lerner (NY Bar)
Laurence A. Greenberg (FL Bar)

Werner H. Steiner (FL Bar), Senior Attorney

www.patentusa.com
patents@patentusa.com

Ralph E. Locher (FL, IL, MO Bars)
Manfred Beck (US & German Pat. Agent)
Mark P. Weichselbaum (TN Bar)
Gregory L. Mayback (FL Bar)
Markus Nollff (FL Bar)
Otto S. Kauder (Reg. Pat. Agent)
Loren Donald Pearson (FL Bar)

Mailing Address:
Post Office Box 2480
Hollywood, FL 33022-2480

New York Office
153 E 57th Street
Suite 15G
New York, NY 10022

1c784 U.S. PTO
09/621432
07/21/00

"Express Mail" mailing label number EL608559450US
Date of Deposit July 21, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Docket No.: GR 99 P 2348


MICHAEL BURNS

Date: July 21, 2000

Hon. Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

Enclosed herewith are the necessary papers for filing the following application for Letters Patent:

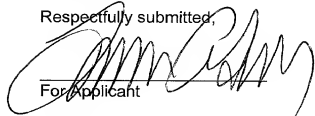
Applicant : GERO OFFER
Title : METHOD AND APPARATUS FOR AUTHENTICATION FOR A MULTIPLICITY OF SERVICES

2 sheets of formal drawings in triplicate.
A check in the amount of \$690.00 covering the filing fee.

This application is being filed without a signed oath or declaration under the provisions of 37 CFR 1.53(d). Applicants await notification of the date by which the oath or declaration and the surcharge are due, pursuant to this rule.

The Patent and Trademark Office is hereby given authority to charge Deposit Account No. 12-1099 of Lerner and Greenberg, P.A. for any fees due or deficiencies of payments made for any purpose during the pendency of the above-identified application.

Respectfully submitted,


For Applicant

LAURENCE A. GREENBERG
REG. NO. 29,306

LAG:tg

METHOD AND APPARATUS FOR AUTHENTICATION FOR
A MULTIPLICITY OF SERVICES

5

Background of the Invention:

Field of the Invention:

The invention relates to a method for authentication for a multiplicity of services and to a method for universal authentication in an intelligent network for a multiplicity of IN services. Furthermore, the invention also pertains to an apparatus for authentication for a multiplicity of services.

Nowadays many people use a wide variety of services for which access authorization is required. The following are typical examples: telecommunications services such as, for example, interrogation of a database or access to the Internet, mobile telecommunications services, and electronic banking services. Virtually all of these services require access authorization in the form of a password, a PIN (personal identification number) or a person-specific card such as, for example, a credit card, an automatic teller machine card, or a mobile telephone card.

Notes of passwords or PINs constitute a security risk. Accordingly, every person is required to remember the access

authorizations assigned to him/her and keep safe access cards such as company passes, bank cards, and the like. Small electronic databases in the form of a pocket computer in which the passwords and PINs can be stored are available precisely

5 for the purpose of managing a large number of passwords and PINs. The information stored in such a database is in turn protected by a password or PIN in order to prevent unauthorized access to these security-relevant data. The database owner need then only remember the password or the PIN

10 for access to the information stored in the database. However, when accessing a service, the database owner must first call up the access authorization for the service from his/her database and then type it manually into, for example, an access terminal for the service. This is furthermore very

15 laborious and affords the database owner merely the advantage that he/she does not have to remember as many access authorizations. Moreover, all the access authorizations are present locally in combined form, so that security against fraud or misuse by hackers, for example, is not ensured.

20

Summary of the Invention:

The object of the invention is to provide a method and apparatus for authentication of a multiplicity of services and for universal authentication in an intelligent network which

25 overcomes the above-noted deficiencies and disadvantages of

the prior art devices and methods of this kind, and which make it easier for a user to access a multiplicity of services.

With the above and other objects in view there is provided, in

5 accordance with the invention, a method of authenticating for a multiplicity of services each being callable via a service-specific and/or subscriber-specific access authorization, the method which comprises the following steps:

providing an authentication server and storing in the

10 authentication server at least one service-specific and/or subscriber-specific access authorization for a service;

storing a multiplicity of authentication codes assigned to users in the authentication server;

15 assigning each authentication code to the access authorization or authorizations of a user; and

upon receiving a request for a given service, carrying out authentication with the authentication server by comparing a received authentication code with the authentication codes stored in the authentication server and, if the comparison

20 leads to a positive comparison result, causing with the authentication server a connection to the requested service to be set up.

In other words, each of the services is called via a service-specific and/or subscriber-specific access authorization. An authentication server is provided, at least one service-specific and/or subscriber-specific access authorization for a service is stored in the authentication server, a multiplicity of authentication codes assigned to users are stored in the authentication server, each authentication code is assigned to the service-specific and/or subscriber-specific access authorization or authorizations of a user, and in the event of a service being requested, the authentication server carries out authentication by means of a received authentication code in such a way that the received authentication code is compared with all the authentication codes stored in the authentication server and the central authentication server sets up a connection to the requested service if the comparison result is positive.

In this method it is advantageous that all the access authorizations of a user for a multiplicity of services are stored centrally in an authentication server. In this case, the authentication server may be part of a telecommunications network and be dialed up, for example, by a user for use of particular services of the telecommunications network via a number provided for this purpose. As soon as a connection exists between a subscriber terminal of the user and the authentication server, the user can request one of the

particular services of the telecommunications network for example by inputting a service-specific code. To that end, the service-specific code may be formed as part of a call number for setting up a connection to the authentication server or

- 5 the authentication server has "prompt & collect" functionality, in which a service-specific code is communicated by the user and the user thereupon authenticates himself/herself by transmitting his/her authentication code. The authentication code corresponds, as it were, to a central
- 10 access key to the individual access authorizations for services. The user thus requires only the authentication code in order to request services. In order to increase the security, the transmission of the authentication code to the authentication server may additionally be encrypted, in
- 15 particular with respect to time.

With the above and other objects in view there is also provided, in accordance with the invention, a method for universal authentication in an intelligent network for a

20 multiplicity of IN services each callable via a service-specific and/or subscriber-specific access authorization. The method comprises the following steps:

providing an authentication server in a service control point of an intelligent network;

storing at least one access authorization for an IN service in the authentication server;

storing a multiplicity of authentication codes assigned to users in the authentication server;

- 5 assigning each authentication code to the access authorization or authorizations of a user; and

upon receiving a request for an IN service, comparing with the authentication server a received authentication code with the authentication codes stored in the authentication server and,

- 10 if the comparison leads to a positive comparison result, causing with the authentication server a connection to the requested service to be set up.

In the context, therefore, of the intelligent network and its

- 15 IN services, the authentication server is provided in a service control point of the intelligent network. At least one service-specific and/or subscriber-specific access authorization for an IN service is stored in the authentication server, a multiplicity of authentication codes
- 20 assigned to users are stored in the authentication server, each authentication code is assigned to the service-specific and/or subscriber-specific access authorization or authorizations of a user, in the event of an IN service being requested, the authentication server carries out

authentication by means of a received authentication code in such a way that the received authentication code is compared with all the authentication codes stored in the authentication server and the authentication server sets up a connection to
5 the requested IN service in the event of a positive comparison result.

There is further provided, in accordance with the invention, an apparatus for authentication for a multiplicity of
10 services, comprising:

an authentication server connected to a multiplicity of services, said authentication server including

- a memory storing at least one service-specific access authorization for a service and authentication codes;
- 15 ▪ a comparison device connected to said memory for comparing a received authentication code with the authentication codes stored in said memory; and
- a connection setup device for setting up a connection to a requested service.

20 Other features which are considered as characteristic for the invention are set forth in the appended claims.

Although the invention is illustrated and described herein as embodied in a method and apparatus for authentication for a multiplicity of services, it is nevertheless not intended to be limited to the details shown, since various modifications and structural changes may be made therein without departing from the spirit of the invention and within the scope and range of equivalents of the claims.

The construction and method of operation of the invention, however, together with additional objects and advantages thereof will be best understood from the following description of specific embodiments when read in connection with the accompanying drawings.

Brief Description of the Drawings:

Fig. 1 shows a block diagram illustrating access to different services via different accesses;

Fig. 2 is a block diagram illustrating access to a bank server via an electronic payment terminal;

Fig. 3 is a block diagram illustrating access to a police data server via a terminal; and

Fig. 4 is a block diagram showing the structure of the authentication server.

Description of the Preferred Embodiments:

Referring now to the figures of the drawing in detail and first, particularly, to Fig. 1 thereof, there is seen a detail
5 of an intelligent network with a service switching point 1 (SSP) and a service control point 2 (SCP).

The service switching point 1 constitutes the interface between the intelligent network and the public telephone
10 network (PSTN: Public Switched Telephone Network). The various services of the intelligent network can be accessed via the service switching point via a multiplicity of different devices.

15 Such devices may be, for example, a mobile radio telephone 3 or an analog telephone 4 and a digital telephone 6, which are both connected via a private branch exchange (PBX) 5 to the service switching point 1, a computer with a modem 7, a computer with a LAN connection 8 or an electronic payment
20 terminal 9. The above-mentioned list is not exhaustive; further devices for access to services of the intelligent network are conceivable and lie within the invention.

The service switching point 1 is connected to a service
25 control point 2 of the intelligent network. In this case, the service control point 2 performs the services of the

intelligent network, the so-called IN services. To that end, the service control point 2 sets up a connection to a service server, which performs a corresponding IN service, and requests the service from the latter.

5

The following, for example, may be provided as service server: a bank server 10, a universal personal telecommunication SCP 11, a virtual private network 12, a home location register/corporate network 13, a data VPN 14 and a credit card server 15, which are connected to the service control point 2.

Furthermore, an authentication server 16, which is provided for authentication of accesses to the IN services, is connected to the service switching point 1 and to the service control point 2.

If, by way of example, a connection to a bank server 10 is requested via a computer with modem 7 for e.g. a financial transaction, then the service switching point 1 forwards the service request to the authentication server 16, which authenticates the access by comparing an authentication code of a user communicated by the computer with modem 7 with stored authentication codes and requesting the IN service at the bank server 10 via the service control point 2 in the event of a positive comparison result. After successful authentication, there is thus a connection available between

the computer with modem 7 and the bank server 10. Access via the computer with modem 7 to an IN service of the credit card server 15, for example, proceeds analogously. The access also proceeds similarly when another device is chosen for the

5 access, for example the mobile radio telephone 3. For this purpose, the mobile telephone transmits the authentication code to the authentication server 16.

In the event of access via a computer, the authentication code

10 may be input by a user by means of the keyboard, or be stored on a SMART card, for example. If an access device has a fingerprint sensor, for example, then the authentication code can be stored as encrypted fingerprint in the authentication server 16, so that a user authenticates himself/herself by

15 his/her fingerprint. To that end, data concerning the fingerprint and also the associated encryption information serving for encrypted transmission of the fingerprint data are stored in the authentication server.

20 Fig. 2 outlines how a bank server 52 is accessed via an authentication server 51 via an arbitrary terminal 50, for example a computer terminal.

In this respect, the communication of the authentication code

25 from the terminal 50 to the authentication server 51 takes place by means of encrypted transmission. This prevents

unauthorized accesses to the authentication code such as, for example, interception measures on the transmission link 53 between the terminal 50 and the authentication server 51. For additionally increased security, the encryption algorithm

5 changes over time. This application is suitable for example for transferring amounts of money to an electronic purse or for payment by credit and/or account card.

The access - illustrated in Fig. 3 - to the data of a police

10 data server 102 proceeds similarly. On the one hand, the access is possible without authentication by means of a police terminal 103, which is accessed exclusively by persons authorized to do so, such as police officials, for example; on the other hand, the data of the police data server 102 can

15 likewise be accessed via a terminal 100 and an authentication server 101. This facilitates for example access to police data via a mobile terminal in a police car or by a police patrol. In this case, encrypted transmission 104 between the terminal 100 and the authentication server 101 is again provided.

20 Fig. 4 outlines the structure of the authentication server. The authentication server has an access authorization memory 150, in which a multiplicity of authentication codes are stored. The services for which a user is authorized are

25 additionally stored for each authentication code. A comparison device 151 compares a communicated authentication code with

all the authentication codes stored in the access authorization memory 150 and, in the event of a positive comparison, signals to a connection setup device 152 which service is to be requested.

I claim:

1. A method of authenticating for a multiplicity of services each being callable via a defined access authorization, the method which comprises the following steps:

providing an authentication server and storing in the authentication server at least one access authorization for a service;

storing a multiplicity of authentication codes assigned to users in the authentication server;

assigning each authentication code to the access authorization or authorizations of a user; and

upon receiving a request for a given service, carrying out authentication with the authentication server by comparing a received authentication code with the authentication codes stored in the authentication server and, if the comparison leads to a positive comparison result, causing with the authentication server a connection to the requested service to be set up.

2. The method according to claim 1, which comprises storing in the authentication server an authentication selected from the group of service-specific and subscriber-specific authentications.

3. A method for universal authentication in an intelligent network for a multiplicity of IN services each callable via a defined access authorization, the method which comprises the following steps:

providing an authentication server in a service control point of an intelligent network;

storing at least one access authorization for an IN service in the authentication server;

storing a multiplicity of authentication codes assigned to users in the authentication server;

assigning each authentication code to the access authorization or authorizations of a user; and

upon receiving a request for an IN service, comparing with the authentication server a received authentication code with the authentication codes stored in the authentication server and, if the comparison leads to a positive comparison result, causing with the authentication server a connection to the requested service to be set up.

4. The method according to claim 3, wherein the authentication codes are selected from the group consisting of

service-specific and subscriber-specific access authorization codes.

5. An apparatus for authentication for a multiplicity of services, comprising:

an authentication server connected to a multiplicity of services, said authentication server including

- a memory storing at least one service-specific access authorization for a service and authentication codes;
- a comparison device connected to said memory for comparing a received authentication code with the authentication codes stored in said memory; and
- a connection setup device for setting up a connection to a requested service.

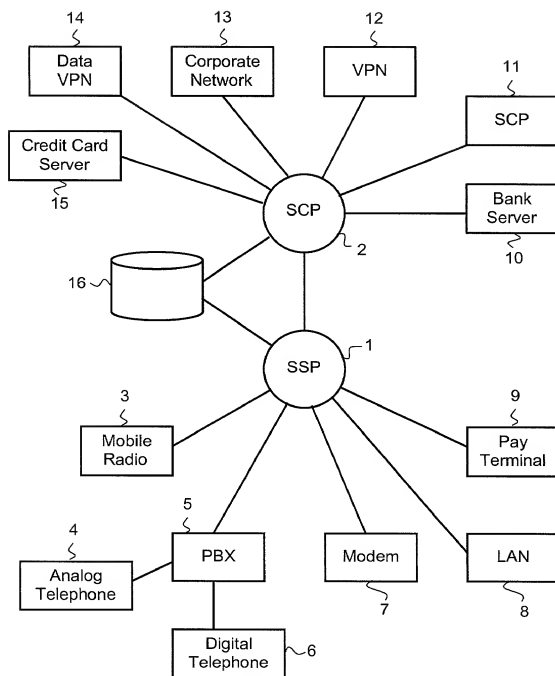
Abstract of the Disclosure:

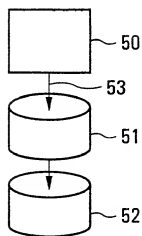
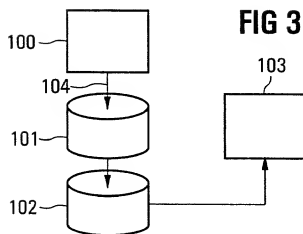
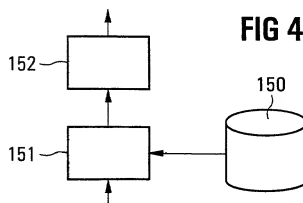
A method is provided for authentication for a multiplicity of services, where each service is called via a service-specific and/or subscriber-specific access authorization, and for

- 5 authentication in an intelligent network for a multiplicity of IN services. The corresponding apparatus for authentication for a multiplicity of services has an authentication server with a memory and a comparison device that compares an authorization input with a stored access authorization.

WHS:tg - 99p2348F//7/20/2000

FIG 1



**FIG 2****FIG 3****FIG 4**

**COMBINED DECLARATION AND POWER OF ATTORNEY
IN ORIGINAL APPLICATION**

As a below named inventor, I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that I verily believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**METHOD AND APPARATUS FOR AUTHENTICATION
FOR A MULTIPLICITY OF SERVICES**

described and claimed in the specification bearing that title, that I understand the content of the specification, that I do not know and do not believe the same was ever known or used in the United States of America before my or our invention thereof, or patented or described in any printed publication in any country before my or our invention thereof or more than one year prior to this application, that the same was not in public use or on sale in the United States of America more than one year prior to this application, that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve month prior to this application, that I acknowledge my duty to disclose information of which I am aware which is material to the examination of this application under 37 C.F.R. 1.56a, and that no application for patent or inventor's certificate of this invention has been filed earlier than the following in any country foreign to the United States prior to this application by me or my legal representatives or assigns:

German Application No. 199 34 278.4, filed July 21, 1999, the International Priority of which is claimed under 35 U.S.C. § 119.

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

HERBERT L. LERNER (Reg.No.20,435)
LAURENCE A. GREENBERG (Reg.No.29,308)
WERNER H. STEMER (Reg.No.34,956)
RALPH E. LOCHER (Reg.No. 41,947)

Address all correspondence and telephone calls to:

LERNER AND GREENBERG, P.A.
POST OFFICE BOX 2480
HOLLYWOOD, FLORIDA 33022-2480
TEL: (954) 925-1100
FAX: (954) 925-1101

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF SOLE INVENTOR: GERO OFFER

INVENTOR'S SIGNATURE: _____

DATE: _____

Residence: GILCHING, GERMANY

Country of Citizenship: GERMANY

Post Office Address: GRUBENWEG 14B
D-82205 GILCHING
GERMANY
